Patent Application

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. of:

David M. Dolezal, et al.

Atty. Docket: 28982-01

Serial No.:

10/

Filed:

March 19, 2004

For:

Breathing Air Filtration System

TRANSMITTAL

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed herewith for filing are the following items:

- 1. U.S. Patent Application, consisting of 13 pages of specification, 5 pages of claims, 1 page of abstract and 5 sheets of drawings (including Figs. 1-17);
- 2. Appointment of Attorneys, executed by the inventors (2 pages);
- 3. Declaration and Petition (3 pages);
- 4. Declarations Claiming Small Entity Status Under 37 CFR 1.9(f) and 1.27(b) Independent Inventor (2 pages) one signed by each inventor;
- 5. Application Data Sheet (4 pages);
- 6. Copy of Assignment (1 page), and original Recordation Cover Sheet (1 page);
- 7. Fee Transmittal for FY2004 (1 page);
- 8. Check in the amount of \$520.00 in payment of the filing fee;
- 9. Check in the amount of \$40.00 in payment of the assignment recording fee; and
- 10. Return Receipt Post Card.

The Commissioner is authorized to charge any further fees necessitated by this transmittal, or credit any overpayment, to Deposit Account No. 12-0449.

Please direct any questions or comments to Frederick W. Niebuhr at (952) 896-1574.

Respectfully submitted,

Date: March 19, 2004

Frederick W. Niebuhr Registration No. 27,717 CUSTOMER NO. 23452

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Pursuant to 37 C.F.R. 1.10, I hereby certify that this Transmittal and accompanying documents (items 1-10 identified above) are being deposited with the United States Postal Service by "Express Mail Post Office to Addressee" service, addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, as of the date and under the mailing label indicated below:

Date of Deposit: March 19, 2004

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PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

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FEE TRANSMITTAL		Complete if Known					
FEE IRANS	DIVILLIAL	Application Number	10/				
for FY 2	2004	Filing Date	March 19, 2004				
		First Named Inventor	DavidM. Dolezal				
Effective 10/01/2003. Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27		Examiner Name					
		Art Unit					
TAL AMOUNT OF PAYMENT	(\$) 560.00	Attemory Dealest No.	28082-01				

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
✓ Check Credit card Money Other None	3. ADDITIONAL FEES					
Deposit Account:	Large Entity Small Entity					
Denosit		Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number		130	2051		Surcharge - late filing fee or oath	Fee Falu
Deposit Account Larkin Hoffman Daly & Lindgren Ltd.		50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Director is outherized to: (about all that each)		130	1053	130	Non-English specification	
The Director is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments		2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)		920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee		1.840°	1905	1 840*	Requesting publication of SIR after	
to the above-identified deposit account.		1,040	1000	1,040	Examiner action	\vdash
FEE CALCULATION		110	2251	55	Extension for reply within first month	\vdash
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	\vdash
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee 385.00	1255	2,010	2255	1,005	Extension for reply within fifth month	-
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	<u> </u>
SUBTOTAL (1) (\$) 385.00		110	2452	55	Petition to revive - unavoidable	 _
		1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1,330	2501	665	Utility issue fee (or reissue)	
Total Claims 35 -20** = 15 x 9.00 = 135. Independent Claims Multiple Dependent x = 15 x 9.00 = 135.		480	2502	240	Design issue fee	
		640	2503		Plant issue fee	ļ
		130	1460		Petitions to the Commissioner	
		50	1807		Processing fee under 37 CFR 1.17(q)	
Large Entity Smail Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$)	8021	40	8021		Recording each patent assignment per property (times number of properties)	40.00
1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	205	examined (37 CFR 1.129(b))	
1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802	385 900	, , , , , , , , , , , , , , , , , , ,	\vdash
and over original patent	1002	500	1002	500	of a design application	
SUBTOTAL (2) (\$) 135.00		Other fee (specify)				<u></u>
**or number previously paid, if greater; For Reissues, see above		ced by	Basic f	iling F	ee Paid SUBTOTAL (3) (\$) 40	. 00

or number prev	lously paid, if greater, For Reissues, see and	σουτοτίλε (σ) (φ) το				
SUBMITTED BY				(Comple	te (if applicable))	
Name (Print/Type)	Frederick W. Niebuhr	Registration No. (Attorney/Agent)	27,717	Telephone 952-896-1574		
Signature	Dull W Mall			Date	March 19, 2004	

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.